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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,883	12/29/2000	Nicholas A. Lee	55876USA4A (12370-001001)

CONFIRMATION NO. 8379

## FORMALITIES LETTER



\*OC000000005774522\*

NESTOR F. HO, ESQ.  
3M Innovative Properties Company  
Office of Intellectual Property Counsel  
P.O. Box 33427  
St. Paul, MN 55133-3427

Date Mailed: 02/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/16/2001 SMINASS1 00000042 133723 09751883

FILED UNDER 37 CFR 1.53(b)

01 FC:105 130.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

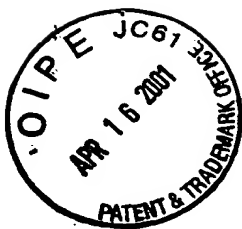
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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Patent  
Docket No.: 55876USA4A.002

See also  
\$

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Nicholas A. Lee and Gordon Wiegand

Serial No.: 09/751,883

Filed: December 29, 2000

For: APPARATUS AND METHOD FOR MAKING  
TEMPERATURE COMPENSATED OPTICAL  
FIBER DIFFRACTION GRATINGS

Group Art

Unit: Unknown

Examiner: Unknown

**COMPLETION OF FILING REQUIREMENTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

This is in response to the Notice to File Missing Parts of Non-Provisional Application mailed on February 16, 2001. A copy of the Notice to File Missing Parts of Non-Provisional Application is enclosed.

No original declaration or oath was filed and enclosed is the original declaration for this application. The above-identified application is the application which the inventors executed by signing the enclosed declaration.

Please charge the fee provided in 37 C.F.R. § 1.16(e) to Deposit Account No. 13-3723. Please also charge any additional fees or credit any overpayment to Deposit Account No. 13-3723. Two copies of this sheet marked DUPLICATE are also enclosed.

**Certificate of Mailing**

Pursuant to 37 CFR 1.8 I certify that this correspondence is being deposited on the date indicated below with the United States Postal Service as First Class Mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Date:  
April 12, 2001

Signature

*Tom Sanders*  
Tom Sanders